# Electronic Filing System (EFS) Data **Electronic Patent Application Submission USPTO Use Only**

EFS ID:

17069

Application ID:

10064745

**CONVERTIBLE FINANCIAL** 

Title of Invention:

**INSTRUMENTS WITH** 

**CONTINGENT PAYMENTS** 

First Named Inventor:

James Birle

Domestic/Foreign Application:

**Domestic Application** 

Filing Date:

null

**Effective Receipt Date:** 

2002-08-12

Submission Type:

**Utility Patent Filing** 

Filing Type:

new-utility

Confirmation Number:

0

Attorney Docket Number:

**NONE** 

Digital Certificate Holder:

cn=Carl Oppedahl, ou=Registered Attorneys, ou=Patent and

Trademark Office, ou=Department of Commerce, o=U.S.

Government, c=US

Certificate Message Digest:

fkqIsgoFAQ6GlyOVrhOu9w==

Total Fees Authorized:

\$3566.0

Payment Category:

CC - Credit Card

Credit Card Number:

\*\*\*\*\*\*\*\*3008

**Expiration Date:** 

07312004

Card Holder Name:

Carl Oppedahl

**EFSPROD** 

**RAM Accounting Date:** RAM Sequence Number:

505097

**RAM Payment Status:** 

RAM success

2002-08-12

Postal Code:

RAM User ID:

80435

# TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Utility Patent Filing



# CONVERTIBLE FINANCIAL INSTRUMENTS WITH CONTINGENT PAYMENTS

First Named Inventor: James R Birle Jr.

SUBMITTED BY

Name:

Carl Oppedahl

Registration Number:

32,746

Electronic Signature Mark: /s/

Date Signed: 20020812

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I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

bibd-transmittal

epaveapds.xml

fee-transmittal

epavefee.xml

specification

specification.xml

### Comments:

Any deficiency in fees may be charged to our deposit account 15-0610

# **FEE TRANSMITTAL**

Electronic Version 1.1.0 Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

**TOTAL FEES AUTHORIZED: \$3566** 

## BANK (CREDIT) CARD INFORMATION:

Credit Card Number:

3008

**Expiration Date:** 

20040731

Authorized Name:

Carl Oppedahl

Billing Address:

80435

#### **BASIC FILING FEE**

Fee Description	Fee Code	Fee Paid	
Utility Filing Fee	101	\$ 740	

Subtotal For Basic Filing Fee: \$ 740

#### **EXTRA CLAIM FEES**

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 95	103	\$ 18	75	\$ 1350
Independent Claims: 17	102	\$ 84	14	\$ 1176

Subtotal For Extra Claims Fees: \$ 2526

#### **ADDITIONAL FEES**

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Publication Fee For Early or Voluntary Publication		1	195	\$ 300	\$ 300

Subtotal For Additional Fees: \$ 300